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Review Article

Portal vein embolization prior to hepatectomy: Techniques, outcomes and novel therapeutic approaches

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ABSTRACT

Hepatectomy plays a pivotal role in the management of primary and secondary malignancies of the liver, and offers a curative option for the patient. Postoperative liver failure is a severe complication of liver resection, particularly for patients with underlying liver disease. Portal vein embolization (PVE) is a well-established preoperative technique that redirects blood flow to the anticipated remaining liver after resection in an effort to improve the functional hepatic reserve. PVE has improved the safety of hepatectomy and has extended surgical candidacy to patients who previously would have been ineligible for resection because of insufficient remnant liver volume. This article reviews the following aspects of PVE; indications, contraindications, liver volumetry, approaches, embolization agents, recent outcomes data, and areas of active research including adjunctive therapies and temporary PVE.

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Keywords: Embolization; Liver neoplasms; Liver regeneration; Portal vein

Introduction

Despite advancements in systemic and locoregional therapies over recent years, liver resection has maintained a vital role in the treatment algorithm of both primary and metastatic liver tumors.^{1,2} A key determinant to the safety of liver resection is the remaining liver volume following surgery, known as the future liver remnant (FLR). Multiple studies have demonstrated that the FLR predicts the risk of post-hepatectomy liver failure and mortality.^{3–5} Several strategies have been developed to induce growth of the FLR and therefore increase resectability. These include portal vein embolization (PVE), associating liver partition and portal vein ligation, and transhepatic liver venous deprivation (LVD).^{6–8}

PVE is the most commonly utilized technique to promote growth of the FLR prior to hepatectomy. The aim of PVE is to redirect the flow of portal blood, thereby inducing atrophy in the diseased liver segments to be resected, and compensatory hypertrophy in the non-embolized liver segments which will become the FLR. PVE has been shown to reduce the morbidity of major hepatectomy and has extended surgical candidacy to patients who otherwise would have had insufficient liver volume precluding

resection.^{9–11} In this article, we review the indications and contraindications for PVE, liver volumetry, technical considerations, and recent outcomes for PVE alone and in combination with other techniques.

Indications and Contraindications

Patients are candidates for PVE if they have primary or metastatic liver tumors eligible for resection and have an estimated FLR volume that is not large enough for adequate function in the perioperative period.

Severe portal hypertension precluding surgery, manifested by a hepatic venous pressure gradient > 12 mmHg, refractory ascites, or variceal bleeding, is considered an absolute contraindication to PVE.¹² Patients with metastatic disease or periportal lymphadenopathy are ineligible for resection and therefore would not benefit from PVE. Although two-stage hepatectomy has extended surgical candidacy to patients with bilobar disease,¹³ diffuse multifocal disease remains a contraindication to PVE. PVE is unnecessary in the case of complete lobar portal vein thrombosis, as flow is already diverted.¹⁴ Other relative contraindications include

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uncorrectable coagulopathy, renal failure, and uncorrectable biliary dilatation in the FLR.

FLR Measurement and Threshold Determinants

Overestimation of the FLR may mislead the medical team into thinking that a given patient may proceed to resection safely, which could put the patient at risk for post-resection liver failure. In the case of underestimation, a patient may have sufficient FLR for resection, but could undergo unnecessary PVE, which would delay surgery and put the patient at risk for further complications. Thus, it is important to consider the methodology used to measure or estimate the FLR and liver function, and the clinical factors that influence the volume of FLR necessary to minimize the risk of post-resection liver failure.

In addition to the FLR, the total estimated liver volume (TELV) must also be calculated, as there is a linear correlation between liver volume and body size.^{15,16} The normalization of the FLR by TELV is known as the standardized FLR (sFLR), and it is this percentage that is most often used clinically. Computed tomography (CT) volumetry is an established method used to measure liver volumes, and has an error rate < 5%.¹⁷ However, this assessment requires delineation of the total liver volume, FLR volume and tumor volume. Although new software is being developed to allow for auto-segmentation, standard techniques for CT volumetry are time-consuming.^{18,19} An alternative method developed by Vauthey et al²⁰ uses a formula based upon the patient's body surface area (BSA) to estimate liver volume: $TELV = -794.41 + 1,267.28 \times BSA$. The literature has produced conflicting results with regards to the superiority of one method over the other. Ribero et al²¹ found that CT volumetry underestimated the risk of hepatic insufficiency in 11% of patients. In contrast, Leung et al²² found that measured volumetrics correlated with outcomes better than estimated volumetrics. A recent study by Martel et al²³ demonstrated poor concordance between the two methods, with a difference in the FLR of $\geq 5\%$ in almost one-third of patients. Some groups have^{24,25} developed formulas to estimate total liver volume without using body weight-related variables, in consideration of the artificial effects that ascites and edema have on body weight. Although these new formulas predicted total liver volume more accurately than standard formulas within certain patient cohorts, they lack multi-center validation.

The volume of liver remnant necessary for adequate hepatic function depends on a number of clinical factors. The presence of underlying cirrhosis is the most important consideration. Compared to a fibrotic liver, normal hepatic parenchyma has better synthetic function and is more likely to undergo the hypertrophy necessary for FLR augmentation. In general, the minimum sFLR required to be considered a hepatectomy candidate is 20% for a patient with a normal liver and 40% for a patient with evidence of cirrhosis.^{26,27} The patient's medical comorbidities and chemotherapy regimen must also be considered. Patients with diabetes mellitus demonstrate reduced and delayed FLR hypertrophy compared to normoglycemic patients,²⁸ as insulin is a mitogenic factor that works in concert with hepatocyte growth factor (HGF). Oxaliplatin and irinotecan, components of the FOLFOX and FOLFIRI chemotherapeutic regimens commonly used for colorectal cancer, have been shown to induce steatohepatitis and increase 90-day mortality after resection compared to patients without steatohepatitis (14.7% vs 1.6%).²⁹ In light of these findings, Shindoh et al³⁰ identified an FLR threshold of 30%, above which the risk of postoperative hepatic insufficiency was reduced in patients with > 12 weeks of preoperative chemotherapy. Lastly, the extent of

resection must be considered because a larger hepatic reserve may be required to reduce postoperative morbidity in complex surgeries (e.g., hepatectomy with pancreaticoduodenectomy).

Rather than relying on liver volumetrics as a proxy, some groups investigated the utility of measuring dynamic hepatic function, most commonly through the indocyanine green (ICG) clearance test.³¹⁻³³ ICG is a non-toxic dye that binds to plasma proteins and is exclusively removed by the liver. Poor clearance of ICG is predictive of liver failure following resection.³⁴ Mihara et al³⁵ developed a formula incorporating both the ICG plasma clearance rate and sFLR that predicted postoperative liver failure in a retrospective analysis of 172 patients. Some guidelines consider the ICG retention rate at 15 minutes when determining FLR thresholds.³⁶

Technical Considerations

Approaches

The goal of PVE is to ensure that the entire portal system within the liver to be resected is completely embolized while maintaining the integrity of the FLR. Partial embolization may result in residual portal flow, the formation of collaterals, and potentially reduces the stimulus for compensatory hypertrophy. There are a variety of approaches to access the portal venous system.

One of the earliest techniques was the transileocolic approach,³⁷ which involves a laparotomy and direct cannulation of the ileocolic vein in order to advance a balloon catheter to the portal venous system. However, as minimally invasive techniques have improved, the transileocolic approach has fallen out of favor. It is now reserved for rare circumstances in which a percutaneous approach is considered high-risk (e.g., presence of multiple large tumors that present risk of peritoneal seeding if punctured), or if the patient requires additional embolization during the same surgical exploration.³⁸

The most commonly performed technique today is transhepatic portal access. If the point of entry is in the FLR, it is called a transhepatic contralateral approach,³⁹ while access of a portal vein branch within the diseased liver to be resected is considered an ipsilateral approach.^{40,41} Each approach has distinct advantages and disadvantages, with the decision often depending on operator experience and preference, as well as the embolic material used.

In the contralateral approach, the catheter travels from the left portal system to right portal vein branches, resulting in a more linearized pathway which makes the procedure technically easier. Because the embolic material is delivered in an antegrade fashion in the contralateral approach, there is reduced risk of dislodging the material during catheter manipulation or portography. Furthermore, there is a lower chance of catheter entrapment when using N-butyl cyanoacrylate (NBCA). The main disadvantage of the contralateral approach is potential damage to the FLR parenchyma and the left portal vein. Care must be taken to reduce the number of hepatic punctures and to gently manipulate the catheter to avoid vessel trauma.

The main advantage of the ipsilateral approach is that it avoids trauma to the FLR. It also provides easy access to segment 4 for embolization when two stage or extended right hepatectomy is planned. Access tract embolization can be performed with the ipsilateral approach to reduce the risk of perihepatic hemorrhage, but is often avoided in the contralateral approach due to the risk of nontarget embolization. Despite these advantages, the ipsilateral approach is technically challenging due to the acute angula-

tions between the right portal vein branches, which require either a reverse-curved catheter or an occlusion balloon catheter with multiple lumens for access and embolization. Compared to the contralateral approach, there is also an increased risk of dislodging the embolic material during portography or catheter manipulation, which could potentially damage the FLR.

The technical success of each approach is near 100%, and complication rates are similar. Di Stefano et al⁴² found an adverse event rate of 12.8% in a series of 188 patients who underwent PVE with a contralateral approach. Ribero et al⁴³ analyzed a series of 112 patients who underwent PVE with an ipsilateral approach and found an 8.9% adverse event rate. Kodama et al⁴⁴ provided a direct comparison of the complication rates for each approach. There was an 18.1% complication rate in the group of patients who underwent contralateral PVE, and a 13.9% complication rate for patients who underwent ipsilateral PVE. Although this difference was not statistically significant, the authors recommended an ipsilateral approach to reduce the risk of injury to the FLR.

The use of a transsplenic approach was recently reported by Sarwar et al⁴⁵ and Ko et al.⁴⁶ This approach does not require maneuvering around the acute angulations of the right portal system, avoids the potential for tumor seeding as encountered in the ipsilateral approach, and does not violate the FLR as required by the contralateral approach. The main drawback is the potential for bleeding complications due to the spleen's high vascularity. In the larger series of 27 transsplenic PVEs, the technical success rate was 88.9%, with 2 cases of failed splenic vein puncture and 1 case of splenic vein dissection. The complication rate was 11.1%. Although there were no overt bleeding complications in either report, 1 patient accumulated a small fluid collection in the splenic hilar area. Importantly, 92% of patients underwent planned liver resection.

Embolic agents

A broad spectrum of embolic agents has been used in PVE, including NBCA, gelatin sponge, polyvinyl alcohol (PVA) particles, fibrin glue, absolute ethanol, sodium tetradecyl sulfate foam, or combinations of these materials with coils or vascular plugs.^{47–54} Outcomes with respect to the choice of embolic agent will be discussed later, although there is no consensus on the ideal material.

NBCA is a water insoluble liquid that rapidly polymerizes upon contact with blood. It is mixed with lipiodol to confer radiopacity and to titrate the speed of polymerization; dilution with lipiodol slows polymerization, which is favorable for embolization of distal portal branches. NBCA causes significant periportal inflammation and produces durable portal occlusion.⁵⁵ However, there is a learning curve to the delivery of NBCA, and each PVE approach presents unique challenges. An ipsilateral approach utilizing a reverse-curved catheter risks catheter entrapment, while a contralateral approach requires manipulation through the FLR. Proper titration of NBCA with lipiodol is necessary to avoid non-target embolization.

Absolute ethanol is a sclerosant that is caustic to vascular endothelium and has been shown to produce durable portal occlusion.⁵⁶ However, it has cytotoxic effects on the surrounding hepatic parenchyma, and must be delivered via contralateral approach with an occlusion balloon catheter to prevent nontarget damage. It may also have systemic effects such as intoxication and abdominal pain, which could lead to poor patient tolerance.

Particulate formulations with PVA or gelatin sponge are able to mechanically obstruct distal branches and induce clot formation and thrombosis. Particle embolization can be performed with

an ipsilateral approach and standard catheters.

Fibrin glue consists of a mixture of fibrinogen and thrombin, the final components of the normal coagulation cascade. Iodized oil is added to the mixture to confer radiopacity. The disadvantage with this approach is the necessity of a multi-lumen balloon occlusion catheter,⁵⁷ which is not widely available.

Coils and vascular plugs have been shown to be useful as an adjunct to particle embolization.⁵⁸ However, the use of these materials alone typically does not produce adequate FLR hypertrophy because they do not achieve sufficient distal embolization.

Outcomes

Complications

Minor complications of PVE include abdominal pain, fever and nausea.³⁶ Major complications are similar to those encountered in other transhepatic procedures, and include liver abscess, cholangitis and sepsis, subcapsular hematoma, arterioportal fistula, and pneumothorax.³⁶ Complications specific to PVE include non-target embolization, extension of or de novo portal vein thrombosis, and recanalization of embolized portal vein segments. The overall complication rate ranges from 0.1% to 14.9%, with no mortality reported in one meta-analysis of 1,088 patients.^{9,44,59} However, a recent report by Huisman et al⁶⁰ suggests that complication rates may be higher in patients who underwent PVE but did not undergo subsequent resection. In this series, 31% of patients who only underwent PVE developed liver abscesses, compared to 8% of unresectable patients who did not undergo PVE.

Hypertrophy response

Compensatory hypertrophy is maximal during the first 3 weeks following PVE.⁴³ Most studies report the hypertrophy response as a percentage volume increase in the FLR, defined as: $(FLR_{\text{post-PVE}} - FLR_{\text{pre-PVE}}) / FLR_{\text{pre-PVE}} \times 100\%$. A systematic review by van Lienden et al⁵⁹ reports an overall mean percentage increase in the FLR of 37.9%. A comparison of hypertrophy response by PVE technique is provided in Table 1. Cirrhotic patients experience a blunted hypertrophy response with a FLR percentage volume increase that is 7.6% to 17.2% lower than that of non-cirrhotic pa-

Table 1 Comparison of Hypertrophy Response by Technique

Technique	No. of patients	Increase in FLR (%)
PVE alone		
PVA + coils/vascular plug ^{54,58}	77	44.0–53.3
Gelatin sponge ⁴⁹	84	30.7
N-butyl cyanoacrylate ^{52,53}	253	41.7–57.8
Fibrin glue ⁵⁰	105	27.4
Sodium tetradecyl sulfate foam ⁵¹	35	48.8
Sequential TACE and PVE ⁷⁶	71	21.4
PVE with stem cells ⁸¹	20	33.0
PVE with branched-chain amino acids ⁸⁵	13	32.1
PVE and HVE ⁸⁹	10	53.4
Reversible PVE ⁹⁴	20	29.4

PVE, portal vein embolization; PVA, polyvinyl alcohol; TACE, transarterial chemoembolization; HVE, hepatic vein embolization; FLR, future liver remnant.

tients.^{6,61} A recent study by Yamashita et al⁶² of 319 patients with hepatocellular carcinoma, biliary tract cancer, or colorectal liver metastases demonstrated that the degree of hypertrophy did not significantly differ by cancer type. Although chemotherapy has been shown to induce steatohepatitis, it does not appear to affect the hypertrophy response in several series.^{63,64} There is a highly variable individual response to PVE, even after dichotomizing patients based on the presence of cirrhosis. A recent study by Zeile et al⁶⁵ examined cofactors influencing the hypertrophy response in a cohort of 28 patients. They found the formation of new portoportal collaterals following PVE and low plasma total protein levels to be predictive of an sFLR percentage volume increase < 25%. These findings emphasize the importance of complete embolization to prevent the formation of collaterals, while a low protein level may be due to a higher degree of fibrosis or a reflection of the patient's nutritional status.

Comparative studies between embolic materials are limited, although a few trends have emerged. Geisel et al⁵⁸ demonstrated that the percentage volume gain in FLR was significant higher in patients who underwent particle embolization with additional central plug and/or coil embolization compared to patients who underwent particle embolization alone (53.3% vs 30.9%). The reported sFLR percentage increase trends higher in studies utilizing NBCA.⁵⁹ Comparative studies by Guiu et al⁶⁶ (NBCA vs Bead Block plus coils) and Jaber et al⁶⁷ (NBCA plus central plug vs PVA particles \pm coils) indicate a superior hypertrophy response with NBCA. However, Jaber et al⁶⁷ found that the choice of embolic agent did not lead to differences in surgical candidacy, outcomes or complications.

Surgical and oncologic outcomes

Recent systematic reviews indicate that 70% to 80% of patients proceed to liver resection following PVE.^{59,68} The most common reasons for cancelling resection were either intrahepatic tumor progression or extrahepatic tumor spread. Other causes include insufficient hypertrophy of the FLR, complications of PVE leading to nonresectability, and preoperative mortality. For patients who undergo liver resection following PVE, the rate of posthepatectomy liver failure is 10%.⁶⁸ The patient's preoperative status significantly affects the risk of postoperative liver insufficiency. In a recent analysis by Olthof et al,⁶⁹ there was a postoperative liver failure incidence of 24% in a series of 217 patients with perihilar cholangiocarcinoma. Multivariate logistic regression revealed jaundice at presentation, an immediate preoperative bilirubin > 2.9 mg/dL and preoperative cholangitis as significant predictors of liver failure.

Previous reports have raised concerns about PVE stimulating tumor growth.^{70,71} However, recent evidence suggests that this does not appear to affect oncologic outcomes. Giglio et al⁷² compared the outcomes of 668 patients undergoing major liver resection with or without PVE. No significant differences were observed in postoperative hepatic recurrence, 3-year overall survival (OS) or 5-year OS. Similarly, Huiskens et al⁷³ compared propensity score-matched cohorts and found that PVE does not significantly impact 3-year disease-free survival or 5-year OS for patients undergoing major liver resection for colorectal liver metastases. Another propensity score-matched analysis performed by Beppu et al⁷⁴ found that extrahepatic recurrences were less common in patients who underwent PVE compared to those who did not (18.1% vs 38.8%).

Adjunctive Therapies

Sequential TACE and PVE

Another strategy to further induce FLR hypertrophy combines a commonly used interventional technique, transarterial chemoembolization (TACE), with PVE.⁷⁵⁻⁷⁷ The occlusion of arterial flow increases the stimulus for compensatory hypertrophy, potentially limits the development of arteriportal shunts which may hinder the effectiveness of PVE, and provides an anti-tumor effect. Yoo et al⁷⁶ studied 71 patients who underwent sequential TACE and PVE and 64 patients who underwent PVE alone. Patients who received both treatments benefited from increased FLR hypertrophy, decreased incidence of postoperative liver failure (4% vs 12%), and higher OS in a 10-year follow-up period. Ogata et al⁷⁵ found a higher incidence of complete tumor necrosis (83% vs 6%) and 5-year disease-free survival rate (37% vs 19%) in patients who underwent sequential TACE and PVE compared to patients who underwent PVE alone. Although the incidence of liver failure does not appear to be higher with this combined approach, it is important to stage the procedures at least 2 to 3 weeks apart to allow liver function tests to normalize, because there is significant segmental infarction even within noncancerous liver after TACE.⁷⁸

PVE with delivery of stem cells

Several clinical studies have demonstrated encouraging results in augmenting the hypertrophy response by infusing hematopoietic stem cells (HSCs) into the portal vein branches of the FLR at the time of PVE.⁷⁹⁻⁸¹ The underlying mechanism is unclear, but HSCs may support the generation and activation of oval cells, which are hepatic progenitor cells that can differentiate into hepatocytes.⁸² Esch et al⁷⁹ compared 22 patients who underwent PVE in combination with simultaneous administration of CD133⁺ bone marrow stem cells versus PVE alone. In this study, patients who received the stem cell infusion demonstrated increased growth of the FLR after 2 weeks compared to patients who underwent PVE alone (138.66 mL vs 62.95 mL). A recent study by Ludvik et al⁸¹ evaluated the FLR hypertrophy response of 40 patients who underwent PVE with or without the application of HSCs. Once again, the growth response at 3 weeks was more robust in patients who received HSCs (173.2 mL vs 98.9 mL). Regardless of whether stem cell infusion was performed, the patients in this study did not differ significantly with respect to the progression of metastases. However, an increase in the total volume of metastases after PVE was found in nearly all patients after administration of HSCs. More studies reporting oncologic outcomes are needed before this adjunctive technique is widely adopted.

PVE with biomolecules

A variety of organic compounds, including branched-chain amino acids (BCAAs) and bile acids, have been shown to stimulate HGF production and promote liver regeneration.^{83,84} A randomized trial conducted by Beppu et al⁸⁵ studied patients who were given a conventional diet with ($n = 13$) or without ($n = 15$) BCAA granules. Dietary supplementation was provided before PVE and continued for 6 months following hepatectomy. Quantitative functional liver volume was evaluated using ^{99m}Tc-galactosyl human serum albumin scintigraphy. The patients who received BCAA granules demonstrated significantly increased liver uptake value in comparison to patients who had a conventional diet alone (266.7% vs 77.6%). A recent preclinical study by Olthof et al⁸⁶ al-

located rabbits who underwent PVE to receive obeticholic acid (a bile acid analogue) or vehicle in the peri-procedural period. FLR hypertrophy was significantly greater in animals who received obeticholic acid (56.1% vs 26.1%). The effects of infusing HGF itself has also been studied by Mangieri et al,⁸⁷ utilizing rodents who underwent portal branch ligation, a procedure equivalent to PVE in humans. Rodents who received perioperative HGF infusions demonstrated increased degree of hypertrophy (159.23% vs 47.11%) compared to rodents who underwent portal branch ligation alone. More translational studies are needed to see if any of these biomolecules can prove as a useful clinical adjunct to PVE in humans.

PVE with HVE

A potential limiting factor in the efficacy of PVE is compensatory arterial hyperperfusion in the embolized lobe. Ipsilateral arterial embolization has been explored, but was found to strongly increase the risk of liver abscess due to ischemia.⁸⁸ Hepatic vein embolization (HVE) is a reasonable alternative that causes outflow obstruction, thereby attenuating arterial hyperperfusion and inducing further damage to the embolized liver lobe without total ischemia. Combined PVE and HVE, known as biembolization or LVD, has shown promise in limited studies.^{8,89,90} A study by Guiu et al⁸⁹ of 10 patients who underwent biembolization demonstrated a mean FLR volume increase of 53.4%, with 90% of patients proceeding to resection and no reports of post-hepatectomy liver failure. Le Roy et al⁹⁰ reported a mean FLR volume increase of 52.6% in 7 patients who underwent biembolization. Six patients in this cohort proceeded to resection without postoperative liver failure. Although these results are encouraging, both of these studies lacked a comparison arm.

Temporary PVE

While the majority of interventionalists perform PVE with permanent materials such as NBCA, temporary or reversible PVE with absorbable materials offers distinct advantages and could be appropriate in certain clinical niches. First, the use of an absorbable agent could decrease the risk of definitive nontarget embolization to the FLR. Second, a substantial number of planned resections are canceled due to tumor progression. In these patients, the permanently embolized liver segments are prone to complications such as abscesses, and reversible PVE would theoretically be safer. Third, reversible PVE can be repeated, which presents yet another strategy for boosting the hypertrophy response.⁹¹ Finally, reversible PVE could potentially be applied in living-related liver transplantation to increase graft volume before procurement. Recent preclinical studies in mice and rabbits demonstrate that temporary PVE induces hypertrophy of contralateral liver lobes comparable to that achieved with permanent embolization.^{92,93} Furthermore, regenerative and functional capacities of the liver appear to be preserved following portal vein recanalization. Tranchart et al⁹⁴ studied 20 patients who underwent temporary PVE with absorbable gelatin sponge powder. Although the median FLR hypertrophy response of 29.4% in their study is lower than that of several series that use permanent embolization agents, all patients ($n = 20$) in the study cohort experienced sufficient hypertrophy to permit surgical planning.

Conclusions

PVE is a well-established technique that has improved the

safety of liver resection and has extended surgical candidacy to many patients with primary and secondary liver tumors. A number of technical approaches and embolic agents are available to the interventionalist. In most cases, adequate hypertrophy of the FLR can be achieved with low morbidity. However, tumor progression or insufficient FLR volume may preclude surgery in 20% to 30% of cases. A variety of adjunctive techniques have been recently studied and show great promise in augmenting the hypertrophy response. Reversible PVE is another exciting area of future research and could potentially expand the indications for PVE.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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